

PILANI INVESTMENT AND INDUSTRIES CORPORATION LIMITED

CIN : L24131WB1948PLC095302

REGD. OFFICE : BIRLA BUILDING, 9/1, R. N. MUKHERJEE ROAD, KOLKATA-700001

Email : pilaniinvestment1@gmail.com, TELEPHONE : 033 4082 3700 / 2220 0600, Website : www.pilaniinvestment.com

21st June, 2021

The Manager,
Listing Department
National Stock Exchange of India Ltd.
“Exchange Plaza”, Plot No. C/1, G Block
Bandra Kurla Complex, Bandra (East)
Mumbai – 400 051

Manager (Listing)
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai-400 001

**Sub: Annual Secretarial Compliance Report for the financial year ended
31st March, 2021**

Ref: Scrip Code: NSE: PILANIINVS :: BSE: 539883:: ISIN: INE417C01014

Dear Sirs,

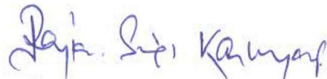
In terms of Regulation 24(A) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report of Pilani Investment and Industries Corporation Limited, dated 18th June, 2021, for the financial year ended 31st March, 2021, issued by M/s K. C. Dhanuka & Co., Company Secretaries, Kolkata.

You are kindly requested to take the same on record.

Thanking you,

Yours faithfully,

For Pilani Investment and Industries Corporation Limited



Company Secretary

Encl: As above

**Secretarial compliance report of Pilani Investment and Industries Corporation
Limited
for the year ended March 31, 2021**

We have examined:

- (a) all the documents and records made available to us and explanation provided by Pilani Investment and Industries Corporation Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 ;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;



(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations 2015;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- (c) The Company has issued the Bonus Shares to the existing shareholders in the ratio of 2 : 5 i.e Two Equity Shares of Rs.10/- each for every Five Equity Shares of Rs. 10/- each held, on 4th January'2021. The Authorized Share Capital was increased from Rs.9,00,00,000/- (Rupees Nine Crores only) divided into 90,00,000 Equity Shares of Rs.10/- each to Rs.25,00,00,000/- (Rupees Twenty Five Crores only) divided into 2,50,00,000 Equity Shares of Rs.10/- each.
- (d) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- (e) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period.
- (f) The reporting of clause 6(A) and 6(B) of the circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India on "Resignation of statutory auditors from listed entities" is not applicable during the Review Period.

NOTE: Due to Covid-19 pandemic situation and Lockdown by the State Government, we have conducted online verification and examination of records, as facilitated by the Company for the purpose of issuing this report.

Place : Kolkata
Date : 18th June,2021



K.C. DHANUKA & CO
Company Secretaries

K. Dhanuka
18/06/2021
K. C. DHANUKA
Proprietor
FCS-2204, CP-1247
Peer Review Certificate No.108
UDIN :F002204C000484213